## Screening for Fetal Alcohol Spectrum Disorders: What is the Role of Social Workers?

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#### Objectives:

- 1. Identify effective methods for the practical application of concepts related to improving the delivery of services for persons with developmental disabilities
- 2. Identify advances in clinical assessment and management of selected healthcare issues related to persons with developmental disabilities
- 3. Discuss the ethical issues related to persons with developmental disabilities
- 4. Develop strategies to promote community inclusion in meeting the needs of persons with developmental disabilities

Notes:

# SCREENING FOR FETAL ALCOHOL SPECTRUM DISORDERS: WHAT IS THE ROLE OF SOCIAL WORKERS?

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#### Objectives

- Describe the benefits of screening and diagnosis of FASD
- Understand the role of social workers in screening children and adults for FASD
- □ Identify reliable and valid screening tools for mothers and children
- Explain practicality (time and money) of screening

#### Prevalence and Costs

- □ FAS alone 0.5 to 2.0 cases per 1,000¹
- $\hfill\Box$  FAS and ARND 9.1 per 1,000  $^2$
- Recent study shows 2% to 5% of younger children in the US and some European countries<sup>3</sup> have FASD
- □ \$2 to \$2.9 million over a lifetime for one individual with FAS⁴
- Above figure could be higher for persons with FASD as they may not be receiving appropriate supports. <sup>5</sup>

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## What are Fetal Alcohol Spectrum Disorders

FASD is not a diagnosis but describes the effects of prenatal alcohol exposure

- □ Fetal Alcohol Syndrome
- □ Partial Fetal Alcohol Syndrome
- Alcohol Related Neuro-Developmental Disorders
- □ Alcohol Related Birth Defects

#### Fetal Alcohol Syndrome

Must meet all 4 criteria

- Confirmed or unconfirmed maternal alcohol exposure AND
- 2. Facial features- two<sup>6</sup> or three<sup>7</sup> of 3
  - □Philtrum rank 4 or 58
  - □Upper lip rank 4 or 5
  - □ Palpebral fissure length < 10<sup>th</sup> percentile AND

#### FAS (cont.)

- Growth—pre or postnatal weight or height 
   10<sup>th</sup> percentile AND
- 4. Central nervous system-head circumference ≤ 10<sup>th</sup> percentile, or brain abnormalities found in neuroimaging, or soft neurological signs such as seizures, or functional problems intellectual disability (IQ ≤ 2 SD) or significant developmental discrepancies in executive functioning, motor skills, attention, social skills, memory, language², problem solving, arithmetic, abstraction, metacognition¹

#### Partial FAS<sup>6</sup>

- Confirmed or unconfirmed maternal alcohol exposure AND
- 2. Facial dysmorphology in at least 2 of 3 features AND
- 3. Growth deficiencies OR
- 4. Deficient brain growth/morphogenesis OR
- 5. Complex pattern of behavioral or cognitive abnormalities not explained by other factors that includes marked impairments in performing complex tasks, higher level receptive and expressive language, disordered behavior

#### Alcohol Related Birth Defects<sup>6</sup>

- 1. Maternal alcohol exposure is confirmed AND
- 2. Facial dysmorphology in  $\geq 2$  of 3 features AND
- Congenital structural malformations or dysplasias in ≥ 2 of these categories: cardiac, skeletal, renal, eyes, ears, minor anomalies

### Alcohol Related Neuro-developmental Disorders<sup>6</sup>

- Maternal alcohol exposure confirmed AND
- Deficient brain growth or abnormal morphogenesis including one of these:
  - a) Structural brain abnormalities or head circumference  $\leq 10^{\text{th}}$  percentile OR
  - Complex pattern of behavioral or cognitive abnormalities not explained by other factors that includes marked impairments in performing complex tasks, higher level receptive and expressive language, disordered behavior

#### Benefits of Screening and Diagnosing

- More difficult to diagnose the other PFAS, ARBD, and ARND because may not have confirmation of prenatal exposure to alcohol
- However, these children may be at greater risk for problems in learning, socialization, daily living skills, health, and safety than those with FAS<sup>9</sup>
- Executive functioning, processing and integrating information big areas of challenge
- May miss out on getting appropriate services and supports

#### Adverse Life Outcomes<sup>9</sup>

- > Inappropriate sexual behaviors
- > Disrupted school experience
- > Trouble with the law
- Confinements
- > Alcohol/drug problems

#### Protective Factors<sup>9</sup>

- □ Growing up in a stable, nurturing home
- □ Diagnosis before age 9
- □ Growing up without violence or abuse
- □ More years in the same household


Role	of	Social	Workers	in	Scre	ening

Unique position to screen many children as social workers are often in settings with children involved in special education, early childhood developmental services and child welfare agencies where young children with FASD may present

#### Role of Social Workers

- □ Social workers see children who have:
  - ■Problems with socialization
  - Externalizing behavior such as aggression and defiance
  - ■Attention deficits
  - ■Learning problems
- □ Early screening and referral for diagnosis can provide timely interventions

#### Role of Social Workers

- Social workers tend to look individuals and families within a context, their environment, and an ecology of interacting factors.
- □ No shame, no blame.
- Social workers meet women in a variety of settings including mental health clinics, medical offices, and women's health clinics.

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#### Sensitivity and Specificity

#### Measures of Probability

Sensitivity—measures the rate of obtaining a true positive outcome i.e. the assessment says the person has this disorder and they do in reality

Specificity—measures whether the tool distinguishes correctly those who do not have the disorder

## Similarities and Differences in Questions of Common Maternal

#### Screening Tools

	Tolerance	Annoyed by Criticism	Should cut Down	Eye Opener	Family/ Friends Worried	Amnesia	Guilty Feelings
T-ACE	х	х	Х	Х			
TWEAK	Х	х	Х	Х	Х	Х	
CAGE		Х	Х	Х			Х
SMAST					х		Х

#### T-ACE

- □ **T**olerance
- □ **A**nnoyed by criticism
- □ Cut down
- □ **E**ye opener
- Better sensitivity with pregnant women than the CAGE<sup>10</sup>
- Better sensitivity and specificity in comparison to the CAGE, MAST, clinical interview, asking one question during pregnancy, medical chart review<sup>10, 11</sup>

#### **TWEAK**

Tolerance, Family/friends Worried, Eye-opener, Amneisa, "Kut" Down

- Similar sensitivity and specificity to T-ACE for pregnant women calling into a hot line<sup>12</sup>
- Better sensitivity and specificity than the CAGE or MAST<sup>13</sup>

#### **CAGE**

Cut down, Annoyed at Criticism, Guilty feelings, Eye opener

 Used in traditional substance abuse programs with success but less effective with women<sup>13,14</sup>

## Short Michigan Alcohol Screening Test (SMAST)

- $\square$  13 questions from the longer 25 question MAST
- □ Tested on 501 men in the 1970s
- □ Questions include:
  - ■Are you a normal drinker?
  - Are you able to stop drinking when you want?
  - ■Have you ever gotten into trouble because of your drinking?<sup>15</sup>

#### A Practical Screening Tool

- ✓ Screens for all FASD
- ✓ Ease of use-short training or learn on line
- ✓ Short time to implement 10 minutes
- √ Cost effective-affordable or no expense
- Have good to excellent sensitivity and specificity

#### Comparison of Maternal Screening Tools

	All FASD	Ease of Use	Short Time	Cost Effective	Reliability/ Validity Reported	Sensitivity/ Specificity Reported
T-ACE	NA	Х	Х	X		Х
TWEAK	NA	х	x	x		Х
CAGE	NA	Х	Х	Х		
MAST	NA			Х		

#### And the Winners Are:

The T-ACE and the TWEAK are the best maternal screening tools for use with women including pregnant women.

### Screening Tools for Children and Young Adults

- Dysmorphology
- Neuro-Behavioral Phenotype
- Mix of Dysmorphology and Neuro-Behavioral Phenotypes
- Forensic Assessment
- Staged or Step Screening Processes

#### Dysmorphology

- Same as the diagnostic evaluations palpebral fissures, thin upper lip, smooth philtrum
- New automated feature analysis with 3-D laser imaging and volumetric scans—may also be able to screen for some or more subtle facial signs 16

#### Neuro-behavioral Phenotype

- Fetal Alcohol Behavior Scale (Anne Streissguth)
  - ■Behavioral "essence" of having an FASD
  - Learning, social skills, impulse control, judgment, memory
  - □Checklist of 36 questions-yes or no
  - Shows promise, had good test-retest reliability

#### Neuro-behavioral Phenotype

- □ Collaborative Initiative on FASD (CIFASD)<sup>18</sup>
  - 22 variables based on neuro-psychological tests
  - Visual memory, spatial reasoning, executive functioning, spatial sequencing, cognitive flexibility, verbal fluency, sustained attention, fine motor coordination, visualmotor skills
  - □ Had excellent accuracy in distinguishing children with FAS from non-exposed children (92%) and good accuracy distinguishing children, with other heavy exposure but no FAS, from non-exposed group (85%)

#### Other Neuro-Psychological Issues

- Executive functioning—range of cognitive and emotional regulation tasks such as planning, using working memory, problem solving, focus, act deliberately<sup>19</sup>
- ADHD-different encoding and shifting not as much focusing and sustaining attention<sup>20, 21</sup>
- □ Significantly lower IQs<sup>22</sup>
- □ Significantly lower math scores<sup>23</sup>

#### Other Neuro-Psychological Issues

 Differences between verbal and performance measures of IQ even if in the normal range with verbal/language usually more impacted<sup>24</sup>

#### Mix of Facial Dysmorphology and Neuro-Behavioral Characteristics

- □ FAS Screen<sup>25</sup> —series of questions about physical signs, cognitive and behavioral skills
- Included epicanthic folds, cross eyes, upturned nose, flat philtrum, thin upper lip, short and broad neck, scoliosis, spina bifida, limited joint mobility, clinomicrodactyly, small nail beds, tremulous and poor finger agility, sunken or protruding chest, raised birth marks, hearing and vision problems
- □ Excellent sensitivity and specificity

#### Mix of Facial Dysmorphology and Neuro-Behavioral Characteristics

- □ Fetal Alcohol Syndrome Diagnostic Checklist (FASDC)<sup>26</sup>
- □ Also used for FASD other than FAS
- Alcohol exposure history, physical and cognitive features of FAS screen, and growth, height, weight, head circumference
- Lowest sensitivity and specificity for distinguishing FASD from No-FASD

#### Forensic Assessment

- Fetal Alcohol Assessment Experts Screening Questionnaire<sup>27</sup>
  - > Developed for advocates and attorneys by mental health and legal organization
  - Series of questions concerning offense conduct, arrest conduct, prior legal history, life history and includes a client interview

#### Staged or Step Screening Process

- Wisconsin Fetal Alcohol Syndrome Screening
   Project-4 step process<sup>28</sup>
  - □ Identify newborns with weights below 10th percentile
  - Neonatal records examined for head circumference less than 10th percentile for gestational age
  - Records checked for maternal alcohol exposure
  - At age 2 to years, the above babies are assessed for facial features, weight, height, and head circumference
  - □ Prevalence rate was 0.23 per 1,000

#### Staged or Step Screening Process

✓ Active Case Ascertainment Process in Elementary Schools<sup>29</sup>

First tier—children identified with height, weight, head circumference  $\leq 10^{\text{th}}$  percentile OR who had behavior and learning problems identified by their teacher

Second tier—examination by trained dysmorphologist using revised IOM<sup>6</sup>, psychological assessments, maternal alcohol use, and other factors-nutrition, SES, etc.

#### A Practical Screening Tool

- Screens for all FASD
- ✓ Ease of use-short training or learn on line
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,	Child/Adult FASD Screens					
	AII FASD	Ease of Use	Short Time	Cost Effective	Reliability /Validity	Sensitivit Specificit
Facial Dysmorphology		X	Х	X		
FABS	Х	Х	Х	Х	Х	
CIFASD	Х					х
Other Neuro- psycholocial features	Х					
FASDC	Х					Х
Wisconsin 4 Step						
FASD Experts	Х					

Which One is the Most P	ractical?
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- FABS meets most of the criteria and includes screening for all FASD
- Needs more research and testing in different settings
- □ Facial features are unique to FAS so they are the best measure for screening for FAS

### Screening for Fetal Alcohol Spectrum Disorders: What is the Role of Social Workers? Developmental Disabilities Conference, April 24, 2013, Ann Carrellas

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#### **FASD Related Websites:**

Substance Abuse and Mental Health Services Administration FASD Center for Excellence: http://www.fasdcenter.samhsa.gov/

#### SAMHSA FASD Michigan page:

http://fasdcenter.samhsa.gov/statesystemsofcare/states/michigan.aspx

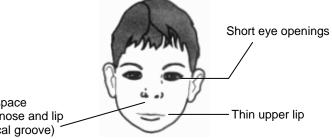
Michigan Department of Community Health FAS Page: http://www.michigan.gov/fas

National Organization on Fetal Alcohol Syndrome: www.nofas.org

Center for Disease Control and Prevention: http://www.cdc.gov/ncbddd/fasd/

#### **Michigan Department of Community Health** Fetal Alcohol Spectrum Disorders Program FETAL ALCOHOL SYNDROME (FAS) PRE-SCREEN

FAS is a birth defect caused by alcohol use during pregnancy. FAS is a medical diagnosis. This form is not intended to take the place of a diagnostic evaluation.



Smooth space between nose and lip (No vertical groove)

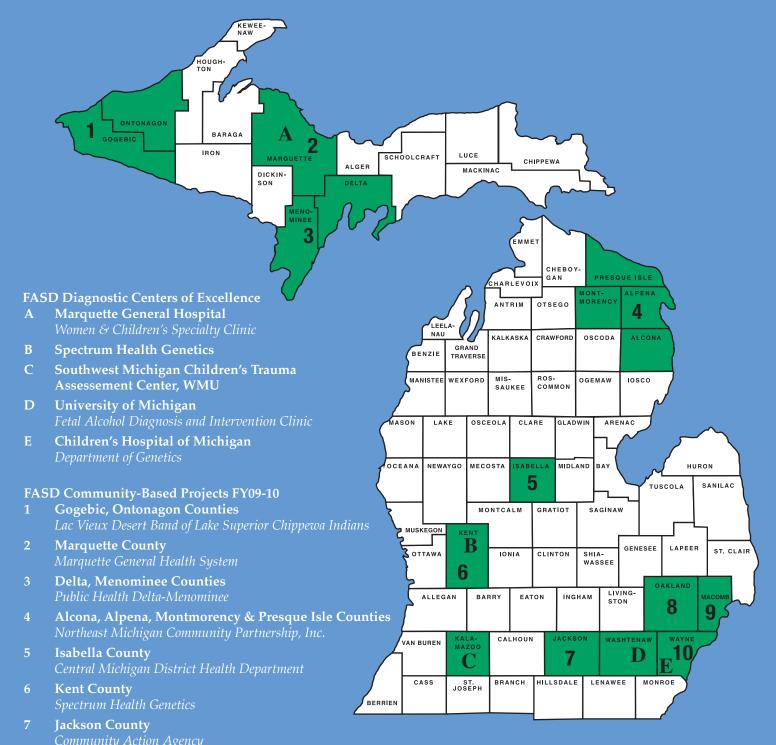
#### **FACIAL FEATURES**

Last Name:	First Name:	Sex:  Male  Female				
Address:		Race:				
City/State/Zip code:		Birthdate:				
Parent/Caregiver Name(s):		Home Phone:				
☐ Bio ☐ Foster ☐ Adopted	□Other	Work Phone/Cell:				
If 2 or more of the identifiers listed below ar	e noted, the individual should be refe	red for a full FAS Diagnostic Evaluation.				
IDENTIFIERS		Check or explain if a concern exists				
1. Height and weight seem small for age	,					
2. Facial features (See diagram above)						
3. Size of head seems small for age						
Behavioral concerns: (any one of the identifier)	se qualifies as an					
<ul> <li>Sleeping/eating problem</li> <li>Mental retardation or IQ below f</li> <li>Attention problem/impulsive/res</li> <li>Learning disability</li> <li>Speech and/or language delays</li> <li>Problem with reasoning and jud</li> <li>Acts younger than children the s</li> </ul>	gment					
5. Maternal alcohol use during pregnancy						
Any previous diagnosis:						
Screener Agency						
Contact the nearest center to schedule a	a complete FAS diagnostic evalua	tion.				
FAS DIAGNOSTIC CENTERS IN MICH	IGAN					
Ann Arbor: 734-936-9777 Gran	d Rapids: 616-391-2319	Marquette: 906-225-4777				
Detroit: 313-993-3891 Kala	mazoo: 269-387-7073					

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# FASD Michigan Program





- 8 Oakland County St. Joseph Mercy Oaklar
- 9 Macomb County CARE (Community Assessment Referral & Education)
- **10 Wayne County** *The Guidance Center*